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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## RESPONSE TO NOTICE OF MISSING PARTS

Atty. Docket No. (Opt.)

OBJ1110-8

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Applicant: **Stephen R. Kennon**Application Number Filed

09/896,137

June 29, 2001

For:

Method and System for Solving Finite Element Models using Multi-Phase Physics

Group Art Unit

Confirmation Number:

2121

5456

**BOX: Missing Parts** 

Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

### Certification Under 37 C.F.R. §1.8

I hereby certify that the documents listed below are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on October 19, 2001.

Janice Pampell

In response to the Notice to File Missing Parts of Application which was granted a Filing Date of June 29, 2001, and mailed to us on August 21, 2001, applicants submit herewith:

- 1. Copy of Notice to File Missing Parts of Application;
- 2. Check in the amount of \$462.00 representing the initial filing fee of \$370.00, \$27.00 for three extra claims over twenty, and \$65.00 for the surcharge for a small entity;
  - 3. Executed Declaration and Power of Attorney;
  - 4. Executed Assignment, Recordation Cover Sheet, and \$40.00 check.

While Applicant believes no further fees and due and owing, the Commissioner is hereby authorized to deduct any deficiency or credit any overpayment to Deposit Account 50-0456 of Gray Cary Ware & Freidenrich LLP.

Respectfully submitted,

Gray Cary Ware & Freidenrich LLP

Attorneys for Applicant

Dated: October <u>/</u>9, 2001

Mark L. Berrier Reg. No. 35,066

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/896,137

AUSTIN, TX 78746-6875

GRAY, CARY, WARE & FREIDENRICH LLP

1221 SOUTH MOPAC EXPRESSWAY

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06/29/2001

Stephen R. Kennon

OBJ1110-8

**CONFIRMATION NO. 5456** 

FORMALITIES LETTER

\*OC000000006455963\*

Date Mailed: 08/21/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$27.
  - \$27 for 3 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 447.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/05/2001 AWONDAF1 00000081 09896137

01 FC:201 02 FC:205 370.00 OP 65.00 OP

27.00 OP



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EXIMISSING PANTS